

- DEERFIELD INSURANCE COMPANY
- EVANSTON INSURANCE COMPANY
- ESSEX INSURANCE COMPANY
- MARKEL AMERICAN INSURANCE COMPANY
- MARKEL INSURANCE COMPANY

**SUPPLEMENTARY QUESTIONNAIRE FOR  
 MANAGING GENERAL UNDERWRITERS INSURANCE AGENTS  
 and BROKERS ERRORS and OMISSIONS**

**APPLICANT'S INSTRUCTIONS:**

1. Answer all questions. If the answer requires detail, please attach a separate sheet.
2. Application must be signed and dated by owner, partner or officer.
3. PLEASE READ CAREFULLY THE STATEMENTS AT THE END OF THIS APPLICATION.  
 (PLEASE TYPE OR PRINT IN INK)

---

**1. APPLICANT INFORMATION**

---

a. Name of Applicant: \_\_\_\_\_

---

**2. MGU OPERATIONS**

---

- a. Do you own or are you under common ownership with any TPAs or producers from whom you receive business?  
 Yes  No. If Yes, please provide their names and details of their errors and omissions coverage.  
 \_\_\_\_\_
- b. Who establishes rating procedures? \_\_\_\_\_
- c. Who underwrites specific accounts?  Insurer  Your agency
- d. Is claims handling authority given to your agency pursuant to the terms of your MGU Agreement?  Yes  No. If yes:  
 (i) Claims handling authority level: \_\_\_\_\_  
 (ii) Amount held in claim fund for each insurer: \_\_\_\_\_  
 (iii) Amount of fidelity coverage carried by your agency: \_\_\_\_\_  
 (iv) Do you delegate claims handling authority to others?  Yes  No. If yes, advise to whom and details of their errors and omissions coverage. \_\_\_\_\_
- e. How often do you audit the TPA's which handle underlying programs for which you place specific and aggregate excess coverage? \_\_\_\_\_  
 Please describe audit procedures: \_\_\_\_\_  
 \_\_\_\_\_

I understand information submitted herein becomes a part of my Insurance Agents & Brokers Errors and Omissions Application and is subject to the same representation and conditions.

\_\_\_\_\_  
 Name of Applicant\*

\_\_\_\_\_  
 Title

\_\_\_\_\_  
 Signature of Applicant

\_\_\_\_\_  
 Date

\*Signing this form does not bind the applicant or the Company or the Underwriting Manager to complete the insurance.